

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel L. Ellingson et al. Docket No.: 16988
Serial No.: 10/027,900 Group No.: 3727
Confirmation No.: 3215 Examiner: Azadeh Kokabi
Filed: December 21, 2001
For: DISPOSABLE CONTAINER WITH A SPILL PREVENTION MECHANISM

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CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. 1.8(a)

I hereby certify that the attached correspondence comprising:

Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. §
1.97(c)(2) - Before Final Rejection or Notice of Allowance - Fee
1 Page(s) of PTO Form 1449
2 Document(s)

39 Total pages, including this page

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Applicant:	Daniel L. Ellingson et al.	Docket No.:	16988
Serial No.:	10/027,900	Group No.:	3727
Confirmation No.:	3215	Examiner:	Azadeh Kokabi
Filed:	December 21, 2001	Date:	September 24, 2003
For:	DISPOSABLE CONTAINER WITH A SPILL PREVENTION MECHANISM		

**Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. § 1.97(c)(2)
Before Final Rejection or Notice of Allowance
Fee**

COMMISSIONER FOR PATENTS
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ALEXANDRIA, VA 22313-1450

Sir:

Applicants are today filing an Information Disclosure Statement in multiple parts consisting of one electronic submission of U.S. patents and U.S. published applications and one paper submission of other documents being sent via first class U.S. mail. Because the multiple parts are being filed on the same day, Applicants believe that only a single fee set forth in 37 C.F.R. 1.17(p) is required.

Pursuant to 37 C.F.R. 1.17(p), applicants have authorized the charge of the \$180.00 fee for filing this portion of the Information Disclosure Statement to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875 in the first electronic submission, 16988-E-ids-2003-09-22, EFS ID 48248, time stamped 2003-09-24 17:15:59 EDT. Please charge any other prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicants, through and by their attorneys, hereby wish to direct the Examiner's attention to the documents listed on the attached modified PTO Form 1449.

By inclusion of any given document in this Information Disclosure Statement, Applicants in no way admit that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Serial No.: 10/027,900

Applicants recommend that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

A completed modified PTO-1449 form listing the documents to which the Examiner's attention is directed and copies of those documents are presented herewith for the Examiner's review and convenience.

Applicants request the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicants request that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicants respectfully request that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

The undersigned may be reached at: 920-721-7760.

Respectfully submitted,

DANIEL L. ELLINGSON ET AL.

By: _____

Scott A. Baum

Scott A. Baum

Registration No.: 51,237

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List of Patents and Publications for Information Disclosure Statement

Applicant(s): Daniel L. Ellingson et al.

Docket No.: 16988

Serial No.: 10/027,900

Group: 3727

Filed: December 21, 2001

Examiner: Azadeh Kokabi

U.S. Patent Documents							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

Foreign Patent Documents								
Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
							Yes	No
	A1	DE 200 16 916 U1	01/25/2001	Germany			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A2	WO 00/59427 A1	10/12/2000	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A3						<input type="checkbox"/>	<input type="checkbox"/>
	A4						<input type="checkbox"/>	<input type="checkbox"/>
	A5						<input type="checkbox"/>	<input type="checkbox"/>
	A6						<input type="checkbox"/>	<input type="checkbox"/>

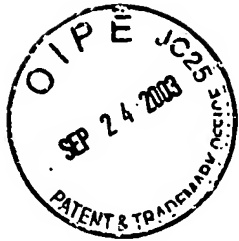
Other Documents	
Initials	Author, Title, Date, Pages, etc.
	A1
	A2
	A3
	A4
	A5

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Date Considered:

Form PTO-1449 (Modified)



Electronic Filing System (EFS) Data
Electronic Patent Application Submission

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#10
IDS
10-20-03
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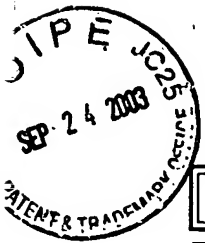
TECHNOLOGY CENTER R3700

EFS ID: 48248
Application ID: 10027900
Title of Invention: DISPOSABLE CONTAINER WITH A
SPILL PREVENTION MECHANISM
First Named Inventor: Daniel Ellingson et al.
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-12-21
Effective Receipt Date: 2003-09-24
Submission Type: Information Disclosure
Statement
Filing Type:
Confirmation number: 3215
Attorney Docket Number: 16988



Total Fees Authorized:

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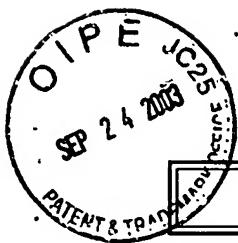
TECHNOLOGY CENTER H3700

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	DISPOSABLE CONTAINER WITH A SPILL PREVENTION MECHANISM	
Application Number: 10/027900 Date: 2001-12-21 First Named Applicant: Daniel L. Ellingson et al.		
Confirmation Number: 3215 Attorney Docket Number: 16988		
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>		
Submitted by:	Elec. Sign.	Sign. Capacity
Scott A. Baum Registered Number: 51,237	Scott A. Baum	Attorney
Documents being submitted	Files	
us-ids	16988-E-ids-2003-09-22-usidst.xml	
	us-ids.dtd	
	us-ids.xsl	
Comments		
Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. Section 1.97(c) (2) - Before Final Rejection or Notice of Allowance - Fee. Applicants are today filing an		

Information Disclosure Statement in multiple parts consisting of one electronic submission of U.S. patents and U.S. published applications and one paper submission of other documents being transmitted via facsimile. Because the multiple parts are being filed on the same day, Applicants believe that only a single fee set forth in 37 C.F.R. 1.17(p) is required. Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicants, through and by their attorneys, hereby wish to direct the Examiner's attention to the documents listed on the attached modified PTO Form 1449. By inclusion of any given document in this Information Disclosure Statement, Applicants in no way admit that such document is effective as prior art against the above identified application under either 35 U.S.C. 102 or 35 U.S.C. 103. Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application. The Examiner is requested to review each cited document and personally determine its prior art status. Applicants recommend that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement. A completed modified PTO 1449 form listing the documents to which the Examiner's attention is directed is presented herewith for the Examiner's review and convenience. Applicants request the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO 1449. Applicants request that the undersigned receive a copy of the initialed PTO 1449. If the Examiner refuses to consider any or all of the herein submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicants respectfully request that the Examiner notify the undersigned, in writing, as to the basis of such opinion. Pursuant to 37 C.F.R. 1.17(p), please charge the \$180.00 fee for filing this Information Disclosure Statement to Kimberly Clark Worldwide, Inc. deposit account number 11 0875. Please charge any other prosecutorial fees which are due to Kimberly Clark Worldwide, Inc. deposit account number 11 0875. The undersigned may be reached at: 920-721-7760.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention

DISPOSABLE CONTAINER WITH A SPILL PREVENTION
MECHANISM

Application Number: 10/027900
Confirmation Number: 3215
First Named Applicant: Daniel Ellingson et al.
Attorney Docket Number: 16988
Art Unit: 3727
Examiner: Azadeh Kokabi
Search string: (3804092 or 4372311 or 4734941 or 4826493
or 5048589 or 5300358 or 5312883 or 5976694
or 6020425 or 6121170 or 6187696 or 6258427
or 20030118850 or 20030121097).pn.

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TECHNOLOGY CENTER R3700

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3804092	1974-04-16	Tunc			
	2	4372311	1983-02-08	Potts			
	3	4734941	1988-04-05	DeWitt et al.			
	4	4826493	1989-05-02	Martini et al.			
	5	5048589	1991-09-17	Cook et al.			
	6	5300358	1994-04-05	Evers			
	7	5312883	1994-05-17	Komatsu et al.			
	8	5976694	1999-11-02	Tsai et al.			
	9	6020425	2000-02-01	Wang et al.			
	10	6121170	2000-09-19	Tsai et al.			
	11	6187696	2001-02-13	Lim et al.			
	12	6258427	2001-07-10	Kerins et al.			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20030118850	2003-06-26	McCormack et al.			
	2	20030121097	2003-07-03	Sherrod et al.			

Remarks

Note: Remarks are not for responding to an office action.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Signature

Examiner Name	Date